

DECLARATION UNDER 37 C.F.R. § 1.63

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING

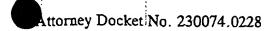
The specification of which				
a. X is attached hereto.		,		
b. was filed on as	Application Serial No.	, which I have reviewed an	d for which I solicit a United State	s ·
patent.	10 m			
I hereby state that I have rev claims, as amended by any a			fied specification, including the	
eramis, as american sy any a				
I acknowledge the duty to di	sclose information which	is material to the patentabili	ty of this application in accordance	е
with Title 37, Code of Feder	ral Regulations, § 1.56 (at	tached hereto).		
I hereby claim foreign priori	ity benefits under Title 35	United States Code 8 119	/365 of any foreign application(s)	
			foreign application for patent or	
			s of which priority is claimed:	
		T. F.	1	
a. 🔀 no such applications l	nave been filed.			
b. such applications hav	e been filed as follows:		•	
FORE	GIGN APPLICATION(S), IF ANY, C	CLAIMING PRIORITY UNDER 35 US	C § 119	
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i; 4 ≈ 1	FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119				
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)		
	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	APPLICATION(S)		
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)		

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/152,785	September 3, 1999



Please direct all correspondence in this case to Ted R. Rittmaster, Esq. at the address indicated below:

Ted R. Rittmaster, Esq.
Foley & Lardner
2029 Century Park East - Suite 3500
Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	REDDING	MARK	:	E:
	5 D :: \$	Class	State or Foreign Country		Country of Citizenship
	Residence & Citizenship	City Newport Beach	California	;	United States of America
1	Post Office	Post Office Address	City		State & Zip Code/Country
1	Address	1132 Baypointe Dr.	Newport Beach	:_ <u> </u>	California/92660/U.S.A.
1,044	iture of Inventor 20		Da	ite: (16/06
1	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	BADIA	LOGAN .	·	A.
0 11	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Fullerton	California	;	United States of America
2 =	Post Office	Post Office Address	City		State & Zip Code/Country
=	Address	3401 Pueme St.	Pullerton		California 92839/U.S.A.
Sigh	ture of Inventor 2	12: Jogan Badi	, Da	ate: A	-just 14, 2000
ji,	Full Name	Family Name	First Given Name	,	Second Given Name
2	Of Inventor	HANDA	SANDEEP		NMI
0	Residence	City	State or Foreign Country	:	Country of Citizenship
	& Citizenship	New Delhi	India	:	India
3	Post Office	Post Office Address	City		State & Zip Code/Country
<u> </u>	Address	26, Aroha Kunj. Sector-13, Rohini	New Delhi	:	India
Sign	ature of Inventor 2	03:	De	alc:)
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	SHARMA	HEMANT		NMI
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Lake Forest	California		India
4	Post Office	Post Office Address	City	:	State & Zip Code/Country
	Address	26571 Normandale Drive	Lake Forest	•	California 92630/U.S.A.
Sign	ature of Inventor 2	04: !#	Da	are: A	qual 14, 2 000

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	Full Name	Family Name	First Given Name	Second Given Name
2	Of Inventor	CHOPRA	SANJAY	NMI
0	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	New Delhi	India	India
5	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	C/o Viman Software India Pvt. Ltd., GF, STP, NSIC	New Delhi	India
		Complex, NSIC Bhawan, Okhla Industrial Estate		·
Sign	ature of Inventor 2	205:		Date:
	Full Name	Family Name	First Given Name	Second Given Name
2	Of Inventor	DUVVOORI	VIKRAM	NMI
)	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Salinas	California	India
5	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	17561 Vierra Cyn Rd # 140	Salinas	California 93907/U.S.A.
Sign	ature of Inventor 2	206:		Date:
	Full Name	Family Name	First Given Name	Second Given Name
2	Of Inventor	RAMAMOORTHY	SHANKAR	NMI
0	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Santa Cruz	California	India
7	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	902 Hanover Street	Santa Cruz	California 95062 U.S.A.
Sign	ature of Inventor 2	07:		Date:
	Full Name	Family Name	First Given Name	Second Given Name
2	Of Inventor	TRIPATHY	AJAY	NMI
)	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	New Delhi	India	India
	in en id	Post Office Address	City	State & Zip Code/Country
3	Post Office	Fost Office Address	1 *	
8	Fost Office Address	61-C, Pocket A11, DDA FLATS, Kalkaji Ext.	New Delhi	India

§ 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (E) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
 or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

United States Patent Application

DECLARATION UNDER 37 C.F.R. § 1.63

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING

Th <u>e specification of which</u>
a. T is attached hereto. b. was filed on as Application Serial No., which I have reviewed and for which I solicit a United States
patent.
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).
I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:
a. Nino such applications have been filed.
b. such applications have been filed as follows:
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FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119

II .	FOREIGN APPLICATION(S), IF ANY,	CLAIMING PRIORITY UNDER	35 USC § 119
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	APPLICATION(S)
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I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)		
60/152,785	September 3, 1999		

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Attorney Docket No. 230074.0228

Please direct all correspondence in this case to Ted R. Rittmaster, Esq. at the address indicated below:

Ted R. Rittmaster, Esq.
Foley & Lardner
2029 Century Park East - Suite 3500
Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

٦	Fùll Nume	Family Name	First Given Name	Second Given Name
	Of Inventor	REDDING	MARK	' E.
	Residence	City	State or Foreign Country	Country of Chizenship
	& Citizenship	Newport Beach	California	United States of America
	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	1132 Baypointe Dr.	Newport Beach	California 92660/U.S.A.
7 1	ature of Inventor 2	01:	Date	:
	Full Name	Family Name	First Given Name	Second Civen Nume
	Of Inventor	BADIA	LOGAN	Α.
	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Fullerton	California	United States of America
	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	3401 Puente St.	Fullerwa	California 92835/U.S.A.
byn.	nature of Inventor	w:	Date	
	Full Name	Family Name	First Given Name	Second Given Name
	Of laventor	HANDA	SANDEEP	IMMI
	Residence	City	State or Foreign Country	Country of Chizenalip
	& Citteenship	New Delhi	India	India
i	Post Office	Post Office Address	City	State & Zip Code Country
	Address	26, Arota Kunj. Sector-13, Robini	New Dethi	India
	nature of Inventor		, Date	08/22/2005
بها	*			
ig.	Full Numc	Family Name	First Given Name	Second Given Name
i gr	Full Nume Of Inventor		First Given Name HEMANT	Second Given Name NMI
	1 1	Family Name		NMI Country of Citizenship
:	Of inventor	Family Name SILARMA	HBMANT	NMI Country of Clitzenship India
:	Of inventor Residence	Family Name SILARMA City	HEMANT State or Foreign Country	NMI Country of Citizenship India State & 71p Code Country
;	Of Inventor Residence & Citizenship	Family Name SILARMA City Lake Foreșt	HEMANT State or Ferriga Country California	Country of Clitzenship India State & 71p Code/Country California 92631/U.S.A.

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	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	CHOPRA	SANJAY		NMI
^		G:	CA-A F		C
0	Residence	City	State or Foreign Country India		Country of Citizenship India
_	& Citizenship	New Delhi			
5	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	C/o Viman Software India Pvt. Ltd., GF, STP, NSIC	New Delhi		India
	<u></u>	Complex, NSIC Bhawan, Okhla Industrial Estate	<u> </u>		·
Sign	ature of Inventor 2	05:		Date:	
	Full Name	Family Name	First Given Name	·	Second Given Name
2	Of Inventor	DUVVOORI	VIKRAM		NMI
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	T				
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Sign	ature of Inventor 2	1		Date:	
Ū	91				
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	TRIPATHY	AJAY		NMI
_	11)				
_	Residence	City	State or Foreign Country		Country of Citizenship
0	t	New Delhi	India		India
0	l'™ Citizenshin	***			State & Zip Code/Country
•	& Citizenship	Post Office Address	1 U.IIV		
8	Post Office	Post Office Address 61-C Pocket All DDA FLATS Kalkaji Ext	City New Delhi		
8	2 2	61-C, Pocket A11, DDA FLATS, Kalkaji Ext.	New Delhi	Date:	India

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 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
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United States Patent Application

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	F	OREIGN APPLICATION(S), IF ANY,	CLAIMING PRIORITY UNDE	R 35 USC § 119	
	applications	have been filed as follows:		•	
		ns have been filed.			
inventor's c	ertificate hav	ing a filing date before that of	of the application on th	e basis of which priority is claimed	:
for patent of	r inventor's c	ertificate listed below and ha	ave also identified belo	§ 119/365 of any foreign application wany foreign application for patent	or
	•	ederal Regulations, § 1.56 (a	•		
				ntability of this application in accor	dance
		reviewed and understand the ny amendment referred to ab		-identified specification, including t	he
patent.	ined on	as Application Serial No.	, which I have leview	red and for which I solicit a Officed	States
_	filed on	as Application Serial No.	which I have review	ved and for which I solicit a United	States
a. 🔀 is att b. 🔲 was	cation of which ached hereto				

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119						
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)			
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Ted R. Rittmaster, Esq. Foley & Lardner 2029 Century Park East – Suite 3500 Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	REDDING	MARK		E.
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Newport Beach	California		United States of America
1	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	1132 Baypointe Dr.	Newport Beach		California 92660/U.S.A.
Signa	atare of Inventor 2	01:		Date:	
	ji				
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	BADIA	LOGAN		A.
	, F				
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Fullerton	California		United States of America
2	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	3401 Puente St.	Fullerton	·	California 92835/U.S.A.
Signa	ature of Inventor 2	02:		Date:	
				<u> </u>	
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	HANDA	SANDEEP .		NMI
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	New Delhi	India		India
3	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	26, Aroha Kunj, Sector-13, Rohini	New Delhi		India
Signa	ature of Inventor 2	03:		Date:	
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	SHARMA	HEMANT		NMI .
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Lake Forest	California		India
4	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	26571 Normandale Drive	Lake Forest		California 92630/U.S.A.
Sign	ature of Inventor 2	04:		Date:	
-				ŀ	

Attorney Docket No. 230074.0228

	Full Name Of Inventor	Family Name CHOPRA	First Given Name SANJAY		Second Given Na NMI	ne : :
}	Residence & Citizenship	City New Delhi	State or Foreign Country India		Country of Citize	nship
	Post Office Address	Post Office Address C/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate	City New Delhi	:	State & Zip Code India	Country
igna	iture of Inventor 2	05:	••	Date:		:
	Full Name Of Inventor	Family Name DUVVOORI	First Given Name VIKRAM	<u>.</u> :	Second Given Na NMI	nie
	Residence & Chizenship	City Salinas	State or Foreign Country California		Country of Citize	nship
5	Post Office Address	Post Office Address 17561 Vierra Cyn Rd # 140	City Salinas		State & Zip Code California 93907	
•	ature of Inventor 2	206: Vikean dum	~·	Date:	lug 14,	2000
ų	Full Name	Family Name RAMAMOORTHY	First Given Name SHANKAR		Second Given Na NMI	me
1 11 11	Residence	City Santa Cruz	State or Foreign Country California		Country of Citize	nship
-	Post Office	Post Office Address 902 Hanover Street	City - Santa Cruz		State & Zip Cod California 95062	, -
•	ature of Inventor 2	207: SCELL Lacemann	2	Date: Aug	14,20	27
1	Full Name	Family Name TRIPATHY	First Given Name AJAY		Second Given No NMI	ime
**	Residence	Clty New Delhi	State or Foreign Country India		Country of Citiz	enship
8	Post Office Address	Post Office Address 61-C, Pocket A11, DDA FLATS, Kalkaji Ext.	City New Delhi		State & Zip Cod India	c/Country
Slgn	ature of Inventor			Date:		! : :

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 - (i) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained there in its disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (E) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

United States Patent Application

DECLARATION UNDER 37 C.F.R. § 1.63

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING

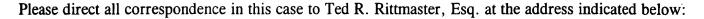
ne specification of which
is attached hereto.
. Was filed on as Application Serial No., which I have reviewed and for which I solicit a United States
atent.
hereby state that I have reviewed and understand the contents of the above-identified specification, including the aims, as amended by any amendment referred to above.
anns, as amended by any amendment referred to above.
acknowledge the duty to disclose information which is material to the patentability of this application in accordance
rith <u>Title 37</u> , Code of Federal Regulations, § 1.56 (attached hereto).
hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s)
or patent or inventor's certificate listed below and have also identified below any foreign application for patent or
venter's certificate having a filing date before that of the application on the basis of which priority is claimed:
Mina such applications have been filed
ino such applications have been filed.
. Lisuch applications have been filed as follows:
FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119
<u> </u>

ži	FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119						
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)				
10 1 (= 1	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	APPLICATION(S)				
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)				

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/152,785	September 3, 1999



Ted R. Rittmaster, Esq. Foley & Lardner 2029 Century Park East - Suite 3500 Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	REDDING	MARK		E.
~	Of Inventor	RESSING	·		2.
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Newport Beach	California		United States of America
1	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	1132 Baypointe Dr.	Newport Beach		California 92660/U.S.A.
Sign	atëre of Inventor 2	01:		Date:	
	<u>iii</u>				
	∮Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	BADIA	LOGAN ,		Α.
	4				
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Fullerton	California		United States of America
2	■Post Office	Post Office Address	City		State & Zip Code/Country
	Address	3401 Puente St.	Fullerton		California 92835/U.S.A.
Sign	atare of Inventor 2	02:		Date:	
	11.				
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	HANDA	SANDEEP		NMI
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	New Delhi	India		India
3	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	26, Aroha Kunj, Sector-13, Rohini	New Delhi		India
Sign	ature of Inventor 2	03:		Date:	
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	SHARMA	HEMANT		NMI
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Lake Forest	California		India
4	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	26571 Normandale Drive	Lake Forest		California 92630/U.S.A.
Sign	ature of Inventor 2	04:		Date:	

Attorney Docket No. 230074 0228

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Attorney Docket No. 230074.0228

1	Full Name Of Liventor	Family Name CHOPRA	First Given Name SANIAY		Second Given Name NM1
0	Residence & Cittzenship	Clly New Delni	State or Porcles Country India		Country of Citteenship India
5	Post Office Address	Post Office Address C/o Viman Software India Pvl. Ltd., GF, STP. NSIC Complex. NSIC Bhawan, Okhla Industrial Estate	Cky New Delhi		State & Zip Code/Country India
Steri	acure of Inventor 2	105: Chops	•	Date: O	3 2 2 2000
2	Full Name Of Investor	Family Name DUVVOOR!	First Given Name VIKRAM		Second Given Name NMI
D	Residence & Citizenship	City Salinas	State or Foreign Country California		Country of Cittzenship
6	Part Office	Fort Office Address 17561 Victra Cyn Rd # 140	City Salinas		State & Zip Code/Country California 93907/U.S.A.
Ste	nature of Inventor	06:		Date:	
1	Full Name Of Inventor	Family Name RAMAMOORTHY	First Gives Name SHANKAR		Second Given Name NMI
0.	Residence & Citizenship	City Sents Cruz	State or Foreign Country California		Country of Citizenship India
7	Post Office Address	Post Office Address 902 Hanover Street	City . Santa Crux		State & Zip Code/Country California 95062 U.S.A.
82,	nature of Inventor	207:		Dates	
2	Full Name Of Inventor	Family Name TRIPATHY	First Given Name AJAY		Second Given Name NMI
0	Residence & Chizenship	City New Delhi	State or Fareign Country India		Country of Citizenship India
6	Post Office Address	Post Office Address 61-C. Picket All. DDA FLATS. Kalkaji Ext.	City New Delhi		State & Zip Code/Country India
6	mature of Inventor	209		Dutter	08/22/2000

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§ 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (D) prior art cited in search reports of a foreign patent office in a counterpart application, and
- the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of regord or being made of record in the application, and
- It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim.
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Mark Redding et al.

Examiner:

Unassigned

Serial No.:

Unassigned

Group Art Unit:

Unassigned

Filed:

Concurrently herewith

Docket No.:

230074.0228

Title:

LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING

POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR UNDER RULE 37 CFR § 3.71

The undersigned, Walter Straub, is a representative authorized to sign on behalf of the assignee of the entire interest in the above-identified subject application, RAINBOW TECHNOLOGIES, INC., and hereby appoints:

To:

11

П

Foley & Lardner

David A. Blumenthal

Reg. No. 26,257

Ted R. Rittmaster

Reg. No. 32,933

Ronald Coslick

Reg. No. 36,489

Glenn M. Kubota

Reg. No. 44,197

all of the firm of Foley & Lardner, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventor and his attorney in accordance with the provisions of Rule 32 of the Patent Office Rules of Practice.

RAINBOW TECHNOLOGIES, INC., per 37 C.F.R. § 3.73(b), certifies that the evidentiary documents with respect to its ownership have been reviewed and that to the best of the undersigned's knowledge and belief, title is in the assignee seeking this action.

RAINBOW TECHNOLOGIES, INC., declares that 100% ownership is established by the assignment filed for recordation herewith, a copy of which is attached.

Please direct all telephone calls to 310-277-2223 and all correspondence relative to said application to the following address:

Ted R. Rittmaster **FOLEY & LARDNER Suite 3500** 2029 Century Park East Los Angeles, CA 90067-3021

ASSIGNEE:

RAINBOW TECHNOLOGIES, INC.

Signature:

Typed Name:

Walter Straub

Title:

Chief Executive Officer

Address:

50 Technology Drive

Irvine, CA 92618

August 15, 2000

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94) Docket No. 230074.0228

	oner of Patents and Trademarks: Please record the attached original documents	or copy thereof.	
1. Name of	of conveying party(ies):	2. Name and address of receiving party(ies)	:
(2) Lo (3) Sa (4) Ho (5) Sa (6) Vi (7) Sh	ark Redding ogan Badia indeep Handa emant Sharma injay Chopra ikram Duvvoori ankar Ramamoorthy iay Tripathy	Rainbow Technologies, Inc. 50 Technology Drive Irvine, California 92618	09/648720 09/648720
Additional name(s) of conveying party(ies) attached?	Additional name(s) & address(es) attached? Yes No	
3. Nature Ass	of conveyance: signment	•	
Execution (3) 08/22/(7) 08/14/	Date: (1) 08/16/00; (2) 08/14/00; 00; (4) 08/14/00; (5) 08/22/00; (6) 08/14/00; 00; 08/22/00.		
4. Applica	ation number(s) or patent number(s):	<u> </u>	
If this (1) 08/ (8) 08/	document is being filed together with a new ap /16/00; (2) 08/14/00; (3) 08/22/00; (4) 08/14/0/22/00.	plication, the execution date of the application 00; (5) 08/22/00; (6) 08/14/00; (7) 08/14/00;	n is:
A. Patent	Application No.(s)	B. Patent No.(s)	
5. Name a concerning Name: Address:	Additional numbers attack and address of party to whom correspondence g document should be mailed: Ted R. Rittmaster Foley & Lardner 2029 Century Park East Suite 3500 Los Angeles, CA 90067-3021		osit
arrada .	DO NOT USE T	THIS SPACE	
9. Statem	ent and signature:	3410 DA 110D	
To the besis a true c	st of my knowledge and belief, the foregoing in opy of the original document.	nformation is true and correct and any attache	d copy
Glenn M. Name of	Kubota Person Signing Signature		25
Public bur document Patent and	ments to be recorded with required cover sheet information to: Commissioner of Pater Box Assig Washington, I den reporting for this sample cover sheet is estimated to average abou and gathering the data needed, and completing and reviewing the sam Trademark Office, Office of information systems, PK2-1000C, Wash Reduction Project (0651-0011) Washington D. C. 2053	nments D.C. 20231 t 30 minutes per document to be recorded, including time for review ple cover sheet. Send comments regarding this burden estimate to the	ving the
Paperwork	Reduction Project (0651-0011), Washington, D.C. 20503.		

ASSIGNMENT

WHEREAS, we, Mark Redding, residing at 1132 Baypointe Dr., Newport Beach, California 92660; Logan Badia, residing at 3401 Puente St., Fullerton, California 92835; Sandeep Handa, residing at 26, Aroha Kunj, Sector-13, Rohini, New Delhi, India; Hemant Sharma, residing at 26571 Normandale Drive, Lake Forest, California 92630; Sanjay Chopra, residing at c/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate, New Delhi, India; Vikram Duvvoori, residing at 17561 Vierra Cyn Rd #140, Salinas, California 93907; Shankar Ramamoorthy, residing at 902 Hanover Street, Santa Cruz, California 95062; and Ajay Tripathy, residing at 61-C, Pocket A11, DDA FLATS, Kalkaji Ext., New Delhi, India, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on same date herewith, which is entitled LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING;

AND WHEREAS, Rainbow Technologies, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 50 Technology Drive, Irvine, California 92618 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the

assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

Ÿ.		y of
,	Mark Redding	

IN TESTIMONY WHEREOF, I have hereunto set my hand this 14 +6 day of Augus 7, 2000.

Logan Badia

	, I have hereunto set my hand this	day of
, 2000.		
	Sandeep Handa	
IN TESTIMONY WHEREOF,	, I have hereunto set my hand this	day of
	Hemant Sharma	
IN TESTIMONY WHEREOF,	, I have hereunto set my hand this	day of
·	Sanjay Chopra	
IN TESTIMONY WHEREOF	, I have hereunto set my hand this	day of
	Vikram Duvvoori	

day of	IN TESTIMONY WHEREOF, I have hereunto set my hand this
	, 2000.
	Shankar Ramamoorthy
day of	IN TESTIMONY WHEREOF, I have hereunto set my hand this
	, 2000.
	,,
	Ajay Tripathy

ASSIGNMENT

WHEREAS, we, Mark Redding, residing at 1132 Baypointe Dr., Newport Beach, California 92660; Logan Badia, residing at 3401 Puente St., Fullerton, California 92835; Sandeep Handa, residing at 26, Aroha Kunj, Sector-13, Rohini, New Delhi, India; Hemant Sharma, residing at 26571 Normandale Drive, Lake Forest, California 92630; Sanjay Chopra, residing at c/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate, New Delhi, India; Vikram Duvvoori, residing at 17561 Vierra Cyn Rd #140, Salinas, California 93907; Shankar Ramamoorthy, residing at 902 Hanover Street, Santa Cruz, California 95062; and Ajay Tripathy, residing at 61-C, Pocket A11, DDA FLATS, Kalkaji Ext., New Delhi, India, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on same date herewith, which is entitled LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING:

AND WHEREAS, Rainbow Technologies, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 50 Technology Drive, Irvine, California 92618 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the

assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

	IN TESTIMONY WHEREOF, I have hereunto set my hand this	day of
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	Mark Redding	
	IN TESTIMONY WHEREOF, I have hereunto set my hand this	day of
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	Logan Badia	

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Attorney Docket No. 230074.0228

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	Shankar Ramamoorthy
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	Aiay Tripathy

ASSIGNMENT

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IN LESTIMONT WHEREOF,	, I have hereunto set my hand thisday of
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	Mark Redding
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•	Logan Badia
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Shankar Ramamoorthy	
TIMONY WHEREOF, I have hereunto set my hand thisday of	IN TESTIMONY WHI
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Ajay Tripathy	·

ASSIGNMENT

WHEREAS, we, Mark Redding, residing at 1132 Baypointe Dr., Newport Beach, California 92660; Logan Badia, residing at 3401 Puente St., Fullerton, California 92835; Sandeep Handa, residing at 26, Aroha Kunj, Sector-13, Rohini, New Delhi, India; Hemant Sharma, residing at 26571 Normandale Drive, Lake Forest, California 92630; Sanjay Chopra, residing at c/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate, New Delhi, India; Vikram Duvvoori, residing at 17561 Vierra Cyn Rd #140, Salinas, California 93907; Shankar Ramamoorthy, residing at 902 Hanover Street, Santa Cruz, California 95062; and Ajay Tripathy, residing at 61-C, Pocket A11, DDA FLATS, Kalkaji Ext., New Delhi, India, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on same date herewith, which is entitled LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING;

AND WHEREAS, Rainbow Technologies, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 50 Technology Drive, Irvine, California 92618 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor:

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the

assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this	day of
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Mark Redding	
IN TESTIMONY WHEREOF, I have hereunto set my hand this	day of
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Logan Badia	

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Attorney Docket No. 230074.0228

IN TESTIMONY WHEREOF, I have hereunto set my hand this	day of
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	Aiay Tripathy	

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	Mark Redding
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	Sanjay Chopra	
 IN TESTIMONY WHEREOF,, 2000.	I have hereunto set my hand thisda	y of
	Vikram Duvvoori	

Attorney Docket No. 230074.0228

IN TESTIMONY WHEREOF, I have hereunto set my hand this 14/L	_day of
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		Shankar Ramamoorthy		
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:		Ajay Tripathy		***************************************